

## **CURRENT TERMS OF REFERENCE**

### **CORPORATE GOVERNANCE AND STANDARDS COMMITTEE**

#### **Statement of purpose**

The Committee has a dual purpose both as an audit committee and a standards committee.

The Committee is a key component of the authority's corporate governance. It provides an independent and high-level focus on the audit, assurance and reporting arrangements that underpin good governance and financial standards.

The purpose of the Committee is to provide independent assurance to councillors of the adequacy of the risk management framework and the internal control environment. It provides independent review of the authority's governance, risk management and control frameworks and oversees the financial reporting and annual governance processes. It oversees internal audit and external audit, helping to ensure efficient and effective assurance arrangements are in place.

With regard to standards, the Committee promotes high standards of conduct by councillors and co-opted members and oversees the arrangements for dealing with allegations of misconduct.

#### **Audit and Accounts Activity**

1. To consider reports on the summary of internal audit activity (actual and proposed) and the level of assurance that it can give over the Council's corporate governance arrangements and monitor the progress of high priority actions arising from audit recommendations
2. To consider reports dealing with the management and performance of the providers of the internal and external audit functions.
3. To approve the Internal and External Audit Plans, and significant interim changes.
4. To consider the external auditor's annual letter (including their audit fee), relevant reports (both financial and strategic) and the report to those charged with governance.
5. To consider specific reports submitted by the internal or external auditors
6. To comment on the scope and depth of internal and external audit work and ensure that it gives value for money.
7. To review and approve the annual statement of accounts and Audit Findings Report with specific emphasis on whether appropriate accounting policies have been followed and whether there are concerns arising from the financial statements or from the audit that need to be brought to the attention of the Council.

#### **Corporate Governance Activity**

8. To monitor and review the operation of the Council's Constitution, particularly in respect of financial procedures and protocols, procurement procedures and guidelines and make recommendations, as appropriate, in respect of any proposed amendments to the Executive and Council.
9. To consider the Council's arrangements for corporate governance and to recommend such actions necessary to ensure compliance with best practice, together with any relevant corporate governance related issues referred to the Committee by the Joint Chief Executive, a Director, the Leader/Executive or any other committee of the Council.
10. To monitor the effective development and operation of risk management undertaken throughout the Council including the review of the Corporate Risk Register.

11. To consider and approve the Council's Annual Governance Statement in advance of the formal approval of the Council's Statement of Accounts.
12. To consider an annual report of the operation of the whistle-blowing policy, including incidents reported
13. To monitor and audit the Council's equality and diversity policies and approve the Equality Scheme and associated action plan.
14. To monitor bi-annually the Council's performance in dealing with Freedom of Information and Environmental Information Regulations requests.
15. To monitor the Council's progress on various data protection and information security initiatives.
16. To consider annual reports on the work of task groups appointed by the Committee, together with other matters submitted to the Committee periodically by those task groups
17. To consider bi-annually Planning Appeals Monitoring Reports and S106 Monitoring Reports
18. To consider an annual report on the exercise of powers under the Regulation of Investigatory Powers Act 2000

### **Ethical Standards Activity**

19. To promote and maintain high standards of conduct by councillors and co-opted members<sup>1</sup>
20. To advise the Council on the adoption or revision of the Councillors' code of conduct.
21. To advise, train or arrange to train councillors and co-opted members on matters relating to the code of conduct.
22. To agree arrangements for the selection and interviewing of candidates for appointment as Independent Persons (including such joint arrangements with other councils as may be deemed by the Monitoring Officer to be appropriate) and to make recommendations to full Council on these appointments.
23. To agree allowances and expenses for the Independent Person and any Reserve Independent Persons
24. To grant dispensations, after consultation with the independent person, to councillors and co-opted members with disclosable pecuniary interests.
25. To monitor and review the operation of the Code of Conduct for Staff and the Protocol on Councillor/ Officer Relations and to make recommendations as appropriate to Council in respect of any necessary amendments.
26. To consider the Monitoring Officer's Annual Report on misconduct complaints against councillors, and any other matter referred by the Monitoring Officer.

### **Accountability arrangements**

To report to those charged with governance on the Committee's findings, conclusions and recommendations concerning the adequacy and effectiveness of their governance, risk management and internal control frameworks, financial reporting arrangements, and internal and external audit functions.

To report annually to full Council on the Committee's performance in relation to the terms of reference and the effectiveness of the Committee in meeting its purpose.

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<sup>1</sup> A "co-opted member" for this purpose is, defined in the Localism Act section 27 (4) as "a person who is not a member of the authority but who

(a) is a member of any committee or sub-committee of the authority, or

(b) is a member of, and represents the authority on, any joint committee or joint sub-committee of the authority; and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub-committee".

Reference to councillors or co-opted members in paragraphs 19 and 21 includes councillors or co-opted members of parish councils in the Council's area.